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TO A NEMITTAL FORM			Application No.	10/69	10/697,909		
TRANSMITTAL FORM			Filing Date	Octob	per 30, 2003		
(to be used for all c	orrespondence aft	er initial filing)	First Named Inventor	Jongr	no Sung		
			Art Unit				
			Examiner Name				
Total Number of Pa	ages in This Submissio	on 9	Attorney Docket Number	51876	5P397		
	ENCLO	SURES (chec	k all that apply)				
Fee Transmittal Fe	orm	Drawing(s)	)		After Allowance Communication to Group		
Fee Attache	ed	Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Resp	oonse	Petition			Appeal Communication to Group Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/de	eclaration(s)	Petition to C Provisional	Convert a Application		Proprietary Information		
Extension of Time	Request	Power of Af Change of	ttorney, Revocation Correspondence Address		Status Letter		
		Terminal D	Disclaimer		Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for	Refund		orean office Action; one rior art reference; return		
PTO/SB/08		CD, Numbe	er of CD(s)		ostcard		
Certified Copy of Priority Document(s)							
Response to Missi Incomplete Applica	ing Parts/ ation	Remarks		_,!			
Basic F	Filing Fee						
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Response t Parts under 1.52 or 1.53	o Missing 37 CFR						
	SIGNATUR	E OF APPLICAI	NT, ATTORNEY, OR A	GENT			
Firm or	Eric S. Hyman	, Reg. No. 30	,139				
Individual name	BLAKELY, S	OKOLOFF,	TAYLOR & ZAF	MAN :	LLP		
Signature							
Date			3/19/05				
			ING/TRANSMISSION				
I hereby certify that this c postage as first class ma	correspondence is bein il in an envelope addre	g deposited with the essed to: Commissi	e United States Postal Ser ioner for Patents, P.O. Box	vice on the 1450, Ale	e date shown below with sufficient xandria, VA 22313-1450.		
Typed or printed nar							
Signature Wells			led	Date	3-14-05		

**TRANSMITTAL** Complete if Known Application Number 10/697,909 for FY 2005 October 30, 2003 Filing Date First Named Inventor Patent fees are subject to annual revision. Jongmo Sung Examiner Name Applicant claims small entity status. See 37 CFR 1.27. Art Unit **TOTAL AMOUNT OF PAYMENT** Attorney Docket No. 51876P397 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** 

Large Er	ntity	Small	<b>Entity</b>		•
Fee	Fee	Fee		Fee Description	Fee Paid
Code	(\$)	Code	(\$)	rec bescription	1 CC 1 alu
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other f	ee (spe	cify) _			

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Eric S. Hyman	<u> </u>	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature					Date	3/14/05

SUBTOTAL (2)

Docket No.: 51876P397



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRATH Re the Application of:

JONGMO SUNG, ET AL.

Application No.: 10/697,909

Filed: October 30, 2003

For: Apparatus and Method for Transcoding

**Between CELP Type Codecs Having** 

**Different Bandwidths** 

Art Group:

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated December 13, 2004 (copy enclosed herewith) in a counterpart Korean application, which was forwarded to Applicant's Representative in a communication dated December 14, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date: 3/19/05/

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

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A IB	STATE	MENT BY	Y APPL	ICANT	First Named Inventor	Jongmo Sung
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					Examiner Name	
	Sheet	1	of	1	Attorney Docket Number	51876P397

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
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	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		KR 2001-0102004	11-15-2001			Ap
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Examiner	Date		
Signature	Considered		

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.